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FORM PTO-1390 (Rev. 03/2005)

| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER<br>FR920030051US1 |
|---|---|---|
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>10/524566 |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/010081 | INTERNATIONAL FILING DATE<br>AUGUST 13, 2003 | PRIORITY DATE CLAIMED<br>AUGUST 13, 2002 |

TITLE OF INVENTION

AN ADAPTIVE MANAGEMENT METHOD AND SYSTEM WITH AUTOMATIC DEPENDENCY RESOLUTION

APPLICANT(S) FOR DO/EO/US

SALVADORE D'ALO, ALESSANDRO DONATELLI, GIOVANNI LANFRANCHI, CLAUDIO MARINELLI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☒ is attached hereto (required only if not communicated by the International Bureau).
   b. ☐ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☒ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments had NOT expired.
   d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern documents(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13*ter*.2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other Items or information: FORM PCT/IB/308

FORM PTO-1390 (Rev. 2/2005)

| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER |
|---|---|---|
| 10/524566 | PCT/EP2003/010081 | FR920030051US1 |

| | | | |
|---|---|---|---|
| The following fees are submitted:<br>21. ☒ Basic national fee | **$300.00** | $ | |
| 22. ☒ Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | $100.00 | | |
| All Other situations | **$200.00** | $ | |
| 23. ☒ Search fee<br>Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority | $100.00 | | |
| International Search Report prepared and provided to the Office | $400.00 | | |
| All other situations | **$500.00** | $ | |
| **TOTAL OF 21, 22, and 23 =** | | **$ 1,000.00** | |

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is **$250** for each additional 50 sheets of paper of fraction thereof.

| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round **up** to a whole number) | RATE | |
|---|---|---|---|---|
| - 100= | /50= | | X **$250.00** | $ |

Surcharge of **$130** for furnishing of the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). $

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
|---|---|---|---|---|---|
| Total claims | 7 -20= | 0 | X $50.00 | $ | 0.00 |
| Independent claims | 3 -3 = | 0 | X $200.00 | $ | 0.00 |
| MULTIPLE DEPENDENT CLAIM(S) (If applicable) | | | + $360.00 | $ | |
| | | | **TOTAL OF ABOVE CALCULATIONS =** | $ | 0.00 |

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.

| | | |
|---|---|---|
| **SUBTOTAL =** | $ | 1,000.00 |
| Processing fee of **$130.00** for furnishing the English translation later that 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + | $ | |
| **TOTAL NATIONAL FEE =** | $ | 1,000.00 |
| Fee for recording the enclosed assignment (37 CFR 1.2 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property + | $ | |
| **TOTAL FEES ENCLOSED =** | $ | 1,000.00 |
| | **Amount to be charged:** | $ 1,000.00 |

a. ☐ A check in the amount of $ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 09-0447 in the amount of $ 1,000.00 to cover the above fees.

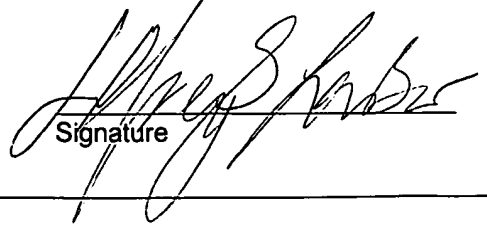
c. ☐ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. . 09-0447 . A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO: Customer Number: **32329**

Jeffery S. LaBaw
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Telephone: 512-823-0494



Signature